

OFFICE OF FINANCE  
REFUND

2002 MAR -4 PM 3:29

DEPT REF  
RM-307

PATENT  
0104-0330P

THE U.S. PATENT AND TRADEMARK OFFICE  
US PATENT OFFICE

Applicant: CLAESSON, Ingvar et al.

Appl. No.: 09/807,916 Group: UNASSIGNED

Filed: April 20, 2001 Examiner: UNASSIGNED

For: METHOD AND DEVICE FOR CONTROLLING A  
TURNING OPERATION

ATTENTION: Refund Section  
Accounting Division  
OFFICE OF FINANCE

#6/ Reg for  
Refund  
03-21-02

REQUEST FOR A REFUND  
(Improper Fees Paid - Small Entity Previously Established)

Assistant Commissioner for Patents February 21, 2002  
Washington, DC 20231

Sir:

The following documentation and remarks are respectfully  
submitted in connection with the above-identified application.

DOCUMENTATION

Attached hereto is:

☒ a copy of written assertion of small entity status  
filed on April 20, 2001; and/or

☐ a copy of an executed Statement Claiming Small Entity  
Status (37 C.F.R. § 1.9(f) and 1.27( )) - filed on .

REMARKS

Pursuant to 37 C.F.R. § 1.28(a), applicants hereby request a  
refund of 50% of the four (4) month extension of time fee paid on

November 21, 2001. Small entity status was established prior to payment of this fee by the filing of a written assertion/statement on April 20, 2001.

Under 37 CFR 1.26(b), any request for a refund which is not based upon subsequent entitlement to small entity status must be filed with a two-year nonextendable time limit.

The four (4) month extension of time fee in the amount of \$1,440.00 was paid on November 21, 2001. It is respectfully requested that the excess fee of \$720.00 be credited to Deposit Account No. 02-2448.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Joe McKinney Muncy, #32,334

KM/asc  
0104-0330P  
Attachments

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

0104-0330P

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

NEW

INTERNATIONAL APPLICATION NO.

PCT/SE99/01884

INTERNATIONAL FILING DATE

October 19, 1999

PRIORITY DATE CLAIMED

October 22, 1998

TITLE OF INVENTION

METHOD AND DEVICE FOR CONTROLLING A TURNING OPERATION

APPLICANT(S) FOR DO/EO/US

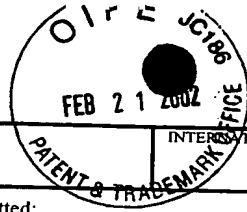
CLAESSON, Ingvar; LAGO, Thomas; HAKANSSON, Lars

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau). WO 00/25963
- b. ☒ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- a. ☐ is transmitted herewith.
- b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

1. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98-International Search Report (PCT/ISA/210)
2. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
3. ☒ A **FIRST** preliminary amendment.
4. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
5. ☐ A substitute specification.
6. ☐ A change of power of attorney and/or address letter.
7. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
8. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
9. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
10. ☒ Other items or information:
- 1.) PCT Substitute Claims Letter w/ International Preliminary Examination Report (PCT/IPEA/409) and claims
- 2.) Two (2) sheets of Formal Drawings



U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO		ATTORNEY'S DOCKET NUMBER																																																														
NEW		PCT/SE99/01884		0104-0330P																																																														
<div>21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$1,000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. .... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .... \$690.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <table border="1" style="width:100%"><thead><tr><th>CLAIMS</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th><th>RATE</th></tr></thead><tbody><tr><td>Total Claims</td><td>21 - 20 =</td><td>1</td><td>X \$18.00</td></tr><tr><td>Independent Claims</td><td>4 - 3 =</td><td>1</td><td>X \$80.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable) Yes</td><td>+ \$270.00</td></tr></tbody></table><b>TOTAL OF ABOVE CALCULATIONS =</b> <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. <b>SUBTOTAL =</b> Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <b>TOTAL NATIONAL FEE =</b> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + <b>TOTAL FEES ENCLOSED =</b> <table border="1" style="width:100%"><tr><td>Amount to be:</td><td>\$</td></tr><tr><td>refunded</td><td></td></tr><tr><td>charged</td><td>\$</td></tr></table></div>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	21 - 20 =	1	X \$18.00	Independent Claims	4 - 3 =	1	X \$80.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable) Yes			+ \$270.00	Amount to be:	\$	refunded		charged	\$	<b>CALCULATIONS PTO USE ONLY</b> <table border="1" style="width:100%"><tr><td>\$</td><td>1000.00</td><td></td></tr><tr><td>\$</td><td>130.00</td><td></td></tr><tr><td>\$</td><td>18.00</td><td></td></tr><tr><td>\$</td><td>80.00</td><td></td></tr><tr><td>\$</td><td>270.00</td><td></td></tr><tr><td>\$</td><td>1498.00</td><td></td></tr><tr><td>\$</td><td>-749.00</td><td></td></tr><tr><td>\$</td><td>749.00</td><td></td></tr><tr><td>\$</td><td>0</td><td></td></tr><tr><td>\$</td><td>749.00</td><td></td></tr><tr><td>\$</td><td>0</td><td></td></tr><tr><td>\$</td><td>749.00</td><td></td></tr><tr><td>\$</td><td></td><td></td></tr></table>		\$	1000.00		\$	130.00		\$	18.00		\$	80.00		\$	270.00		\$	1498.00		\$	-749.00		\$	749.00		\$	0		\$	749.00		\$	0		\$	749.00		\$		
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<div><input checked="" type="checkbox"/> A check in the amount of \$ <u>749.00</u> to cover the above fees is enclosed. <input type="checkbox"/> Please charge my Deposit Account. No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2448</u>.</div> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>Send all correspondence to: Birch, Stewart, Kolasch &amp; Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 703)205-8000</p> <p>Date: <u>April 20, 2001</u></p> <p style="text-align: right;">By <u>Joe McKinney Muncy</u> #41,458 Joe McKinney Muncy, #32,334</p>																																																																		